

Stephen Brake Associates, LLC

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*Forensic Evaluations and Assessments/Consultation
Listed Evaluators, Colorado SOMB*

Curriculum Vitae

Stephen C. Brake, Ph.D.

Psychologist
Colorado License #1456
Forensic Evaluations and Assessments/Consultation
Listed Evaluator, Colorado Sex Offender Management Board

Stephen Brake Associates, LLC
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Degrees

B.A. Plan II Honors Program in the Liberal Arts
University of Texas at Austin, May, 1971

Ph.D. Psychology
University of Texas at Austin, December, 1976

Postdoctoral Training

Trainee, Psychobiological Sciences Training Program,
Department of Psychiatry, Montefiore Medical Center,
Bronx, New York, 1976-1978

Positions

- 1990- Current Forensic Evaluator/Consultant
Sex-Offense-Specific Evaluations - Adults and Adolescents
Risk Assessments
Stephen Brake Associates, LLC
Denver, Colorado
- 1994-2007 Sex-Offense-Specific Treatment Provider
Adjunct Therapy - Adolescents
Stephen Brake and Associates
Denver, Colorado
- 1990-1999 Sex-Offense-Specific Treatment Provider
Group Therapy Program - Adults
Stephen Brake and Associates
Denver, Colorado
- 1998 - 2006 Board Member
Colorado Sex Offender Management Board
Colorado Division of Criminal Justice
- 1988-1997 Psychologist
Division of Youth Corrections
Lookout Mountain Youth Services Center
Golden, Colorado 80401
- 1994-1995 Instructor
Parenting After Divorce
Denver, Colorado
- 1993-1995 Clinical Associate
School of Professional Psychology
University of Denver
- 1991-1995 Consultant
Adams County Juvenile Diversion Program
Adams County, Colorado

- 1987-1993 Consultant
Developmental Psychobiology
New York State Psychiatric Institute
Columbia University
New York, New York
- 1991-1993 Consultant
Adams Community Corrections Program
Adams County, Colorado
- 1990-1991 Principle Investigator
Colorado Department of Justice Grant
First Judicial District Probation
"Treating Sex-Offenders in Denial"
Denver, Colorado
- 1988-1990 Instructor
Adult Education
Arapahoe Community College
Littleton, Colorado
- 1988-1989 Therapist
Youth Team
Park East Community Mental Health Center
Denver, Colorado
- 1987-1988 Co-Therapist, with Phoice Howell, MSW
Men's Domestic Violence Therapy Group
Denver, Colorado
- 1987-1991 Adjunct Assistant Professor of Psychology
New York State Psychiatric Institute
Columbia University
New York, New York
- 1987-1991 Board of Directors
Denver Partners
Denver, Colorado

- 1985-1987 Co-Investigator
NIH Program Project Grant
"Hypoxia and Behavior in Newborn Infants"
Columbia University
College of Physicians and Surgeons
New York, New York
- 1984-1987 Assistant Professor of Medical Psychology
Department of Psychiatry
Columbia University
College of Physicians and Surgeons
New York, New York
- 1984-1987 Research Scientist IV
New York State Psychiatric Institute
Columbia University
College of Physicians and Surgeons
New York, New York
- 1983-1987 Principal Investigator
March of Dimes Research Grant
"Feeding Behavior and Disorders in Newborns"
Albert Einstein College of Medicine/
New York State Psychiatric Institute
New York, New York
- 1983-1984 Program Head
Research in Behavioral Development
Division of Child/Adolescent Psychiatry
Montefiore Medical Center
Albert Einstein College of Medicine
Bronx, New York
- 1983-1984 Adjunct Assistant Professor
Department of Psychology
Barnard College, Columbia University
New York, New York

- 1983-1984 Principal Investigator
NIH Research Grant
"Animal Models of Infant Feeding Disorder"
Montefiore Medical Center
Albert Einstein College of Medicine
Bronx, New York
- 1981-1984 Co-Director
Training Program in Psychobiological Science
Montefiore Medical Center
Albert Einstein College of Medicine
Bronx, New York
- 1978-1981 Principal Investigator
NIMH Research Project
"Animal Models of Early Learning"
Montefiore Medical Center
Albert Einstein College of Medicine
Bronx, New York
- 1978-1984 Assistant Professor
Albert Einstein College of Medicine
Bronx, New York
- 1978-1984 Attending Psychologist
Montefiore Medical Center
Bronx, New York
- 1976-1978 Trainee
Post-Doctoral Training Program in Psychobiological Science
Montefiore Medical Center
Bronx, New York
- 1975-1976 Instructor
Extension/Adult Education
University of Texas at Austin
Austin, Texas

1972-1975 Teaching and Research Assistant
Department of Psychology
University of Texas at Austin
Austin, Texas

Work Experience

Current Practice as Psychologist with Stephen Brake Associates, LLC

I conduct a variety of forensic and psychological evaluations including sex-offense-specific evaluations, parental risk assessments, and risk assessments for violence. I conduct approximately 150 comprehensive evaluations each year and provide consultation services to probation departments, prosecutors, defense attorneys, social service departments, and treatment agencies. I have received training as a Child and Family Investigator. I am a listed evaluator with the Colorado Sex Offender Management Board and, for eight years, was a member of the Board and instrumental in the writing and implementation of the Standards and Guidelines for the treatment and assessment of adult and juvenile sex offenders in Colorado. I also directed a community-based treatment program for adult sex offenders from 1990-1999. I have been qualified in court as an expert witness in psychology, adolescent psychology, and in the evaluation and treatment of offenders. I have co-authored monographs on treatment and recidivism of sex offenders and on internet pornography. I helped to create assessment tools for the review of an offender's progress in treatment and conduct periodic reviews of data on sex offense recidivism rates and treatment effectiveness. (See www.stephenbrakeassociates.com for more information.)

Psychologist in General Private Practice

Approximately 10% of my current practice also consists of providing general psychotherapy to adolescents, adults and families. I treat mood disorders, anxiety and adjustment disorders, personality disorders, and conduct and behavioral disorders in adolescents.

Psychologist with the Division of Youth Corrections

I provided clinical, consulting and administrative service for the Division of Youth Corrections, State of Colorado. This included evaluation and screening of resident youth, preparation of treatment programs, crisis intervention, consultation and counseling with program staff, and consultation for the Office's sex-offender treatment programs. The position required a knowledge of offender characteristics, developmental psychology, and offense-specific treatment

approaches. It also involved regular consultations with the Division's consulting psychiatrist and medical team, as well as consultations with community-based treatment programs and residential facilities.

Clinical Associate with University of Denver

I have served as a clinical supervisor for graduate students in the professional school of psychology.

Instructor for Parenting After Divorce

I served as an instructor of a seminar for divorcing parents with children.

Therapist with Park East Community Mental Health Center

As a member of the Youth Team, I conducted individual therapy with children and adolescents, and family therapy, at Park East (before it became a part of the Mental Health Corporation of Denver). This involved working with a variety of emotional and behavioral disorders. It also involved, on occasion, court testimony and coordination of efforts with social services agencies and community treatment resources.

Co-Therapist of Men's Domestic Violence Therapy Group

I served as a "second chair" trainee in this domestic violence treatment group, under the instruction of Phoice Howell, MSW. Treatment focused on cognitive/behavioral techniques for the prevention of violence in patients who had already engaged in aggressive or violent behavior with female partners.

Instructor at Arapahoe Community College

I taught courses in the Adult Education division which focused on the dynamics of dysfunctional families. These courses were aimed at helping individuals identify problem family situations and the means for beginning to correct them.

Adjunct Assistant Professor/Consultant at NYSPI

I continued an affiliation with the New York State Psychiatric Institute for several years after moving to Colorado in order to contribute to a federally-funded research project that was begun while I was a faculty member in New York. This research focused on studying animal-models of behavioral teratology. My responsibilities included project design, data analysis, and data reporting.

Assistant Professor of Clinical and Medical Psychology

I was an Assistant Professor in the Department of Psychiatry at both the Albert Einstein College of Medicine in New York (1978-1984) and the Columbia University College of Physicians and Surgeons/New York State Psychiatric Institute (1984-1987). Principal responsibilities included supervision and direction of several federally-funded and privately-funded research projects. These projects focused on the origins of infant feeding behavior and disorders in both animal models and in human infants. Other responsibilities included consultation in clinical case conferences, administration of programs within the medical schools, supervision of students and postdoctoral trainees, teaching, serving as editor and reviewer for research journals, organizing regional and national conferences, and participating in regularly scheduled clinical presentations.

Principal Investigator

I wrote and administered several research projects while Assistant Professor (see above). Responsibilities included all that is entailed with being PI, including project design, execution, analysis, and supervision of students and research assistants.

Co-Director of Training Program in Psychobiological Science

My responsibilities included planning and administration of a training program for M.D.s and Ph.D.s in the Departments of Psychiatry, first at Albert Einstein, and then at Columbia. This postdoctoral program was funded by NIH.

Program Head of Research in Research for Child Psychiatry

I organized an administrative "umbrella" division for the coordination of research efforts within the Division of Child Psychiatry and the Department of Behavioral Pediatrics at Montefiore Medical Center and the Albert Einstein College of Medicine in New York.

Adjunct Assistant Professor

I taught Introductory Psychology to undergraduate students at Barnard College, New York.

Experience as a Supervisor

Clinical supervision provided to Adams County Juvenile Diversion, Eades and Associates, Chapas and Associates, Adams County Department of Social Services, and Teaching Humane Existence, 1990-2007

Supervision provided to fourteen doctoral students and seven postdoctoral trainees 1980-2017.

DORA practice monitor for two licensed therapists 200-2017.

Summary of Past Editorial and Consulting Activities

Consulting Editor, Developmental Psychobiology

Reviewer, Science, Infant Behavior and Development, Physiology and Behavior, Psychosomatic Medicine, Animal Behavior and Learning, Neurobehavioral Toxicology and Teratology, Psychological Aspects of Behavioral Development

Grant Reviewer, National Science Foundation

Tenure Reviewer, City University of New York, Union College

Summary of Presentations and Trainings

Over 65 lectures, presentations, trainings, and community forum appearances since 1977 on topics including early learning and development, parent-child attachments, dysfunctional families, conduct disorders, personality disorders, the assessment and treatment of criminal offenders, risk assessment, and sex-offense-specific topics. More recent presentations include:

“Sex Offender Issues”, a television appearance on “Dialogue Denver DA” with Denver District Attorney Bill Ritter, first aired May 2003.

“Risk Assessment”, Colorado Association for Treatment of Sexual Abusers, June, 2006, Winter Park, Colorado.

“Understanding Psychological Testing: Risk, Recidivism, and Treatment of Adult Sex Offenders”, National Advocacy Center, July 2008, Columbia, South Carolina.

“Evaluating Suspected Sex Offenders”, Advanced Family Law Institute, December, 2008, Denver, Colorado.

“Psychological Tests in the Offense-Specific Evaluation Process: What They Are, How They Are Used, and How They Are Scored”, Colorado Criminal Defense Bar, October, 2008, Englewood, Colorado.

Summary of Sex-Offense-Related Publications and Grants

Principal Investigator, Colorado Department of Criminal Justice Grant, "Treatment of Sex Offenders in Denial", 1990-1991.

Author (with Diann Shannon) of "Using Pretreatment to increase admission in sex offenders", in Schwartz and Cellini (Eds.), *The Sex Offender, Volume II: New Insights, Treatment Innovations, and Legal Developments*, 1997, Civic Research Institute: Kingston, N.J.

Creator and author (with Jim Tanner, Ph.D.) of assessment tool, “Structured Sex Offender Treatment Review”, adult and juvenile versions, revised 2010, posted at www.stephenbrakeassociates.com and www.kbsolutions.com.

Author of literature review, “Recidivism and Reoffense Rates of Adult Sex Offenders”, revised 2011, posted at www.stephenbrakeassociates.com.

Author of literature review, “The Effectiveness of Treatment for Adult Sex Offenders”, revised 2011, posted at www.stephenbrakeassociates.com.

All Peer-Reviewed Publications

Lee, C.T. and Brake, S.C. Reactions of male fighters to male and female mice, untreated or deodorized. *Psychonomic Science*, 1971, 24, 209-211.

Lee, C.T. and Brake, S.C. Reactions of male mouse fighters to male castrates treated with testosterone propionate or oil. Psychonomic Science, 1972, 27, 287-288.

Wong, P.T.P., Traupmann, K.L., and Brake, S.C. Does delay of reinforcement produce durable persistence? Quarterly Journal of Experimental Psychology, 1974, 26, 218-228.

Burdette, D.R., Brake, S.C., Chen, J.S., and Amsel, A. Ontogeny of persistence: Immediate extinction effects in preweanling and weanling rats. Animal Learning and Behavior, 1976, 4, 131-138.

Brake, S.C., Burdette, D.R., and Amsel, A. Plasma corticosterone levels in the rat following discrete-trial discrimination of a single extinction trial. Physiology and Behavior, 1976, 4, 180-184.

Brake, S.C. Discrimination training in infant, preweanling, and weanling rats: Effects of prior learning experiences with the discriminanda. Animal Learning and Behavior, 1978, 6, 435-443.

Brake, S.C. Procedures for the collection of milk from the rat dam. Physiology and Behavior, 1979, 22, 795-797.

Brake, S.C., Wolfson, V., and Hofer, M.A. Electromyographic patterns associated with non-nutritive suckling in 11-13-day-old rat pups. Journal of Comparative and Physiological Psychology, 1979, 93, 760-770.

Brake, S.C. and Hofer, M.A. Maternal deprivation and prolonged suckling in the absence of milk alter the frequency and intensity of suckling responses in neonatal rat pups. Physiology and Behavior, 1980, 24, 185-189.

Brake, S.C., Burdette, D.R., Chen, J.S., and Amsel, A. The retention of response persistence in weanling and adolescent rats. Journal of Comparative and Physiological Psychology, 1980, 94, 1060-1068.

Brake, S.C. Suckling infant rats learn a preference for a novel olfactory stimulus paired with milk delivery, Science, 1981, 211, 506-508.

Brake, S.C., Sager, D.J., Sullivan, R., and Hofer, M.A. The role of intra-oral and gastrointestinal cues in the control of suckling and milk consumption in rat pups. Developmental Psychobiology, 1982, 15, 529-542.

Brake, S.C., Sullivan, R., Sager, D.J., and Hofer, M.A. Short- and long-term effects of various milk-delivery contingencies on suckling and nipple attachment in rat pups. Developmental Psychobiology, 1982, 15, 543-556.

Spear, L.P. and Brake, S.C. Periadolescence: Age-dependent behavior and psychopharmacological responsivity in rats. Developmental Psychobiology, 1983, 16, 83-110.

Shair, H., Brake, S.C., and Hofer, M.A. Sucking in the rat: Evidence for patterned behavior during sleep. Behavioral Neuroscience, 1984, 96, 366-370.

Alfasi, G., Schwartz, F., Brake, S.C., Fifer, W., Fleischman, A., and Hofer, M.A. Feeding interactions in full term neonates. Infant Behavior and Development, 1985, 8, 167-180.

Brake, S.C., Tavana, S., and Myers, M. A method for detecting and analyzing intra-oral negative pressure in suckling rat pups. Physiology and Behavior, 1986, 36, 575-578.

Shair, H., Brake, S.C., Hofer, M.A., and Myers, M. Blood pressure responses to milk-ejection in the young rat. Physiology and Behavior, 1986, 37, 171-176.

Sullivan, R., Hofer, M.A., and Brake, S.C. Olfactory-guided orientation in neonatal rats is enhanced by a conditioned change in behavioral state. Developmental Psychobiology, 1986, 19, 615-624.

Sullivan, R., Brake, S.C., Hofer, M.A., and Williams, C. Huddling and independent feeding in neonatal rats can be facilitated by a conditioned change in behavioral state. Developmental Psychobiology, 1986, 19, 625-635.

Pelchat, M.L. and Brake, S.C. Sapid savvy in sucklings: The effects of quinine hydrochloride on intraoral negative pressure and intake by 11-13-day-old rat pups. Developmental Psychobiology, 1987, 20, 261-275.

Hutchings, D.E., Brake, S.C., Morgan, B.E., LaSalle, E., and Shi, T.M. Developmental toxicity of prenatal delta-9-tetrahydrocannabinol: Effects on maternal nutrition, offspring growth, and behavior. National Institutes of Drug Abuse Research Series, 1987.

Hutchings, D.E., Morgan, B., Brake, S.C., Shi, T., and LaSalle, E. Delta-9-tetrahydrocannabinol during pregnancy in the rat: I. Differential effects on maternal nutrition, embryotoxicity, and growth in the offspring. Neurotoxicology and Teratology, 1987, 9, 39-43.

Brake, S.C., Hutchings, D.E., Morgan, B., LaSalle, E., and Shi, T. Delta-9-tetrahydrocannabinol during pregnancy in the rat: II. Effects on ontogeny of locomotor activity and nipple attachment in the offspring. Neurotoxicology and Teratology, 1987, 9, 45-49.

Hall, W.G., Hudson, R., and Brake, S.C. Terminology for use in investigations of nursing and suckling. Developmental Psychobiology, 1988, 21, 89-91.

Brake, S.C., Fifer, W., Alfasi, G., and Fleischman, A. The first nutritive sucking responses of premature human infants. Infant Behavior and Development, 1988, 11, 1-19.

Brake, S.C., Shair, H., and Hofer, M.A. Exploiting the nursing niche: The infant's sucking and feeling in the context of the mother-infant interactions. In E.M. Blass (Ed.), Developmental Psychobiology and Behavioral Ecology, Plenum Press: New York, 1988.

Morgan, B., Brake, S.C., Hutchings, D.E., Miller, N. and Gamagaris, Z. Delta-9-tetrahydrocannabinol during pregnancy in the rat: Effects on development of RNA, DNA, and protein in offspring brains. Pharmacology, Biochemistry and Behavior, 1988, 31, 365-369.

Hutchings, D.E., Brake, S.C., and Morgan, B. Animal studies of pre-natal delta-9-tetrahydrocannabinol: Female embryoletality and effects on somatic and brain growth. Annals of the New York Academy of Sciences, 1989, 562, 133-144.

Brake, S.C. New information about early feeding and motivation: Techniques for recording sucking in infant rats. In H.N. Shair, G.A. Barr, and M.A. Hofer (Eds.), Developmental Psychobiology: New Methods and Changing Concepts, Oxford University Press: New York, 1991.

Hutchings, D.E., Fico, T.A., Banks, N.A., Dick, L.S., and Brake, S.C. Prenatal Delta-9-tetrahydrocannabinol in the rat: Effects on postweaning growth, Neurotoxicology and Teratology, 1991, 13, 245-248.

Hutchings, D.E., Brake, S.C., Banks, N., Nero, T.J., Dick, L.S., and Zmitrovich, A.C. Prenatal Delta-9-tetrahydrocannabinol in the rat: Effects on auditory startle in adulthood. Neurotoxicology and Teratology, 1991, 13, 413-416.

Hutchings, D.E., Zmitrovich, A.C., Brake, S.C., Malowany, D., Church, S., and Nero, T.J. Prenatal Administration of methadone using the osmotic minipump: Effects on maternal and offspring toxicity, growth, and behavior in the rat. Neurotoxicology and Teratology, 1992, 14, 65-71.

Hutchings, D.E., Zmitrovich, A.C., Brake, S.C., Church, S., and Malowany, D. Prenatal Administration of methadone in the rat increases offspring acoustic startle amplitude at age 3 weeks. Neurotoxicology and Teratology, 1993, 15, 157-164.

Zmitrovich, A.C., Brake, S.C., Church, S., Pik-Yan, R.L., Hamowy, A.S., and Hutchings, D.E. Prenatal Administration of methadone in the rat: Acoustic startle amplitude and the rest-activity cycle at 30 days of age. Neurotoxicology and Teratology, 1993, 15, 157-164.

Brake, S.C. and Shannon, D. Using pretreatment to increase admission in sex offenders. In B.K. Schwartz and H.R. Cellini (Eds.), *The Sex Offender: New Insights, Treatment Innovations and Legal Developments*, Civic Research Institute: Kingston, New Jersey, 1997.